APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/841,972	04/25/2001	Thanabalan Paul	7703/34	6201

		EXAMINER
7590	07/15/2004	PATEL, NIKETA I

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ART UNIT	PAPER NUMBER
2182	

DATE MAILED: 07/15/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

-- *The MAILING DATE of this communication appears on the cover sheet with the correspondence address* --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE *3* MONTH(S) FROM
THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed
  after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any
  earned patent term adjustment. See 37 CFR 1.704(b).

Status

1) ☒ Responsive to communication(s) filed on *25 April 2001*.

2a) ☐ This action is **FINAL**.   2b) ☒ This action is non-final.

3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is
   closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4) ☒ Claim(s) *1-27* is/are pending in the application.

    4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5) ☐ Claim(s) _____ is/are allowed.

6) ☒ Claim(s) *1-27* is/are rejected.

7) ☐ Claim(s) _____ is/are objected to.

8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9) ☒ The specification is objected to by the Examiner.

10) ☒ The drawing(s) filed on *03 January 2002* is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.

    Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

    Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

    a) ☐ All   b) ☐ Some * c) ☐ None of:

      1. ☐ Certified copies of the priority documents have been received.

      2. ☐ Certified copies of the priority documents have been received in Application No. _____.

      3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage
   application from the International Bureau (PCT Rule 17.2(a)).

    * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ☒ Notice of References Cited (PTO-892)

2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
   Paper No(s)/Mail Date _____.

4) ☐ Interview Summary (PTO-413)
   Paper No(s)/Mail Date. _____ .

5) ☐ Notice of Informal Patent Application (PTO-152)

6) ☐ Other: _____.

DETAILED ACTION

Specification

1.   The Applicant is kindly requested to update the stats of
the related application listed on page of the disclosure.

2.   The disclosure is objected to because of the following
informalities: no line number on abstract, specification and
claims. Appropriate correction is required. The preferred
format for numbering the claims is to number each line of every
claim, with each claim beginning with line 1. For ease of
reference by both the Examiner and Applicant, all future
correspondence should include the recommended line numbering.

3.   Claim 1 is objected to because of the following
informalities: line 9 recites, 'the client QoS negotiatior',
the term 'negotiator' is misspelled. Appropriate correction is
required.

4.   Claims 22 and 26 are objected to because of the following
informalities: line 2 of claims 22 and 26 is missing a period
('.') at the end of the sentence. Appropriate correction is
required.

Claim Rejections - 35 USC § 102

5.   The following is a quotation of the appropriate paragraphs

of 35 U.S.C. 102 that form the basis for the rejections under

this section made in this Office action:

> A person shall be entitled to a patent unless –
>
> (e) The invention was described in (1) an application for patent, published
> under section 122(b), by another filed in the United States before the
> invention by the applicant for patent or (2) a patent granted on an
> application for patent by another filed in the United States before the
> invention by the applicant for patent, except that an international
> application filed under the treaty defined in section 351(a) shall have the
> effects for purposes of this subsection of an application filed in the
> United States only if the international application designated the United
> States and was published under Article 21(2) of such treaty in the English
> language.

6.   Claims 1-12 and 19-27 are rejected under 35 U.S.C. 102(e)

as being anticipated by Arunachalam et al. U.S. Patent Number:

6,631,122 (hereinafter referred to as "*Arunachalam*".)

7.   **Referring to claim 1,** *Arunachalam* teaches a method for

providing quality of service for applications in multiple

transport protocol environments [see column 3 – lines 50-67]

which comprises: creating a QoS negotiation request for a client

application at a client QoS negotiator [see column 4 – lines 16-

42, column 5 – lines 54-67, column 8 – lines 54-67, column 9 –

lines 1-18, column 11 – lines 17-30 and figure 3 – element 207];

transmitting the QoS negotiation request from the client QoS

negotiator to a server QoS negotiator [see column 4 – lines 16-

42, column 5 – lines 54-67, column 8 – lines 54-67, column 9 –

lines 1-18, column 11 - lines 17-30 and figure 3 - element 301];

adjusting server QoS parameters in response to the QoS

negotiation request [see column 11 - lines 17-30]; creating a

QoS negotiation response at the server QoS negotiator, the QoS

negotiation response containing connection information and

server QoS information [see column 4 - lines 16-42, column 5 -

lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18,

column 11 - lines 17-30]; transmitting the QoS negotiation

response to the client QoS negotiator [see column 4 - lines 16-

42, column 5 - lines 54-67, column 8 - lines 54-67, column 9 -

lines 1-18, column 11 - lines 17-30]; adjusting client QoS

parameters in response to the QoS negotiation response [see

column 11 - lines 17-30]; and connecting the client application

to a server application using the connection information and the

server QoS information [see column 4 - lines 16-42, column 5 -

lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18,

column 11 - lines 17-30.]

8.   **Referring to claim 2,** *Arunachalam* teaches the method

further comprising: monitoring the client QoS parameters and the

server QoS parameters as the client application and the server

application communicate [see column 11 - lines 17-54]; detecting

changes in network conditions and data requirements of the

client application and the server application [see column 11 -

lines 17-54]; and adjusting the client QoS parameters and the server QoS parameters in response to said changes [see column 11 - lines 17-54.]

9.   **Referring to claim 3,** *Arunachalam* teaches the method wherein the step of adjusting server QoS parameters further comprises adjusting server bandwidth, server buffer, and server cache parameters [see column 4 - lines 16-41, 60-64, 'resources'.]

10.   **Referring to claim 4,** *Arunachalam* teaches the method wherein the step of adjusting client QoS parameters further comprises adjusting client bandwidth, client buffer, and client cache parameters [see column 4 - lines 16-41, 60-64, 'resources'.]

11.   **Referring to claim 5,** *Arunachalam* teaches a method for providing dynamic profile management for a client which comprises: receiving an application profile request from a client application [see column 4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 - lines 17-30]; constructing a QoS request for the client application [see column 4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 - lines 17-30]; transmitting the QoS request to a server [see column 4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67,

column 9 - lines 1-18, column 11 - lines 17-30]; receiving a QoS

response from the server [see column 4 - lines 16-42, column 5 -

lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18,

column 11 - lines 17-30]; adjusting client settings based upon

the QoS response [see column 4 - lines 16-42, column 5 - lines

54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11

- lines 17-30]; and connecting the client application to a

server application residing at the server using connection

information and server QoS information stored in the QoS

response [see column 4 - lines 16-42, column 5 - lines 54-67,

column 8 - lines 54-67, column 9 - lines 1-18, column 11 - lines

17-30.]

12.   **Referring to claim 6,** *Arunachalam* teaches the method

further comprising: monitoring the client application for

changes in data requirements [see column 11 - lines 17-54];

detecting changes in network conditions at the client [see

column 11 - lines 17-54] sending a second QoS request to the

server in response to the changes in data requirements or the

changes in network conditions [see column 11 - lines 17-54];

receiving a second QoS response from the server [see column 11 -

lines 17-54]; and adjusting the client parameters in response to

the second QoS response [see column 11 - lines 17-54.]

13.　**Referring to claim 7,** *Arunachalam* teaches the method further comprising repeating the steps of claim 6 until execution of the client application terminates [see column 11 - lines 17-54.]

14.　**Referring to claim 8,** *Arunachalam* teaches the method wherein the step of constructing the QoS request further comprises: identifying application type information and application QoS requirements [see column 11 - lines 8-16]; and storing the application type information and application Qos requirements in the QoS request [see column 6 - lines 29-51.]

15.　**Referring to claim 9,** *Arunachalam* teaches the method wherein the step of adjusting client settings further comprises setting bandwidth, buffer, and queue parameters of the client [see column 4 - lines 16-41, 60-64, 'resources'.]

16.　**Referring to claim 10,** *Arunachalam* teaches a method for providing dynamic profile management for a server which comprises: receiving a QoS request originating from a client at the server [see column 4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 - lines 17-30]; constructing a QoS response containing connection information and server QoS information [see column 4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 - lines 17-30]; adjusting server

parameters in response to the QoS request [see column 4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 - lines 17-30]; transmitting the QoS response to the client [see column 4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 - lines 17-30]; and connecting a server application residing at the server to a client application based upon the connection information and server QoS information [see column 4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 - lines 17-30.]

17. **Referring to claim 11,** *Arunachalam* teaches the method further comprising: receiving a second QoS request send by the client in response to changes in data requirements or network conditions [see column 11 - lines 17-54]; adjusting server parameters in response to the second QoS request [see column 11 - lines 17-54]; creating a second QoS response; and transmitting the second QoS response to the client [see column 11 - lines 17-54.]

18. **Referring to claim 12,** *Arunachalam* teaches the method wherein the step of adjusting server parameters further comprises setting bandwidth, buffer, and queue parameters of the server [see column 4 - lines 16-41, 60-64, 'resources'.]

19. **Referring to claim 19,** *Arunachalam* teaches a generic quality of service architecture comprising: a client QoS negotiator in communication with a client application [see column 4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 - lines 17-30]; a server QoS negotiator in communication with a server application [see column 4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 - lines 17-30]; a generic QoS protocol accessible by the client QoS negotiator and the server QoS negotiator [see column 4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 - lines 17-30]; and a generic QoS API for configuring, monitoring, and maintaining the client QoS negotiator, the server QoS negotiator, and the generic QoS protocol [see column 4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 - lines 17-30.]

20. **Referring to claim 20,** *Arunachalam* teaches wherein said client QoS negotiator is disposed above and communicates with a client socket layer [see column 4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 - lines 17-30.]

21. **Referring to claim 21,** *Arunachalam* teaches the architecture wherein said client socket layer further comprises ATM, RSVP,

TCP/UDP, and IPv6 protocols [see column 12 - lines 59-67, column

13 - lines 1-9 and column 6 - lines 13-20.]

22. **Referring to claim 22,** *Arunachalam* teaches the architecture

wherein said server QoS negotiator is disposed above and

communicates with a server socket layer [see column 4 - lines

16-42, column 5 - lines 54-67, column 8 - lines 54-67, column 9

- lines 1-18, column 11 - lines 17-30.]

23. **Referring to claim 23,** *Arunachalam* teaches the architecture

wherein said server socket layer further comprises ATM, RSVP,

TCP/UDP, and IPv6 protocols [see column 12 - lines 59-67, column

13 - lines 1-9 and column 6 - lines 13-20.]

24. **Referring to claim 24,** *Arunachalam* teaches the architecture

wherein the client QoS negotiator negotiates a QoS profile with

the server QoS negotiator by exchanging messages and sharing

information through the generic QoS protocol [see column 4 -

lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67,

column 9 - lines 1-18, column 11 - lines 17-30.]

25. **Referring to claim 25,** *Arunachalam* teaches the architecture

wherein the client QoS negotiator sets local bandwidth, buffer,

and cache parameters for the client application [see column 4 -

lines 16-41, 60-64, 'resources'.]

26. **Referring to claim 26,** *Arunachalam* teaches the architecture

wherein the server QoS negotiator sets local bandwidth, buffer,

and cache parameters for the server application [see column 4 -

lines 16-41, 60-64, 'resources'.]

27. **Referring to claim 27,** *Arunachalam* teaches the architecture

wherein the client QoS negotiator and the server QoS negotiator

connect the client application to the server application based

upon the QoS profile [see column 4 - lines 16-42, column 5 -

lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18,

column 11 - lines 17-30.]

Claim Rejections - 35 USC § 103

28. The following is a quotation of 35 U.S.C. 103(a) which

forms the basis for all obviousness rejections set forth in this

Office action:

> (a) A patent may not be obtained though the invention is not identically
> disclosed or described as set forth in section 102 of this title, if the
> differences between the subject matter sought to be patented and the prior
> art are such that the subject matter as a whole would have been obvious at
> the time the invention was made to a person having ordinary skill in the
> art to which said subject matter pertains. Patentability shall not be
> negatived by the manner in which the invention was made.

29. Claims 13-18 are rejected under 35 U.S.C. 103(a) as being

unpatentable over Arunachalam et al. U.S. Patent Number:

6,631,122 (hereinafter referred to as "*Arunachalam*".)

30. **Referring to claim 13,** *Arunachalam* teaches a generic

quality of service protocol comprising: a client information

storage unit [see column 4 - lines 16-42, column 5 - lines 54-

67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 -

lines 17-30]; a proxy information storage unit [see column 4 -

lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67,

column 9 - lines 1-18, column 11 - lines 17-30]; an application

profile information storage unit [see column 4 - lines 16-42,

column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines

1-18, column 11 - lines 17-30]; means for storing transport QoS

profile information [see column 4 - lines 16-42, column 5 -

lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18,

column 11 - lines 17-30]; means for storing per-protocol QoS

profile information [see column 4 - lines 16-42, column 5 -

lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18,

column 11 - lines 17-30]; and means for storing QoS map order

information however does not set forth the limitation of an ICMP

header for transmitting the protocol as an out-of-band message.

It would have been obvious to one of ordinary skill in the

art at the time of applicant's invention that it was old and

well known in the computer art to get the advantage of reporting

errors such as out-of-band to other peer machines by using the

Internet Control Message Protocol header. It would have been

obvious to one of ordinary skill in the art at the time of

applicant's invention to include ICMP header to get this

advantage.

31.   **Referring to claim 14,** *Arunachalam* as modified in claim 13
above teaches, the protocol wherein said client information
storage unit further comprises: means for storing operating
system type information [see column 4 – lines 16-42, column 5 –
lines 54-67, column 8 – lines 54-67, column 9 – lines 1-18,
column 11 – lines 17-30]; means for storing workstation
configuration information [see column 4 – lines 16-42, column 5
– lines 54-67, column 8 – lines 54-67, column 9 – lines 1-18,
column 11 – lines 17-30]; means for storing processor
architecture information [see column 4 – lines 16-42, column 5 –
lines 54-67, column 8 – lines 54-67, column 9 – lines 1-18,
column 11 – lines 17-30]; and means for storing network
architecture information [see column 4 – lines 16-42, column 5 –
lines 54-67, column 8 – lines 54-67, column 9 – lines 1-18,
column 11 – lines 17-30]

32.   **Referring to claim 15,** *Arunachalam* as modified in claim 13
above teaches, the protocol wherein said proxy information
storage unit further comprises: means for storing proxy IP
addresses [see figure 3 – element 301]; and means for storing
proxy port numbers [see figure 3 – element 301.]

33.   **Referring to claim 16,** *Arunachalam* as modified in claim 13
above teaches, the protocol wherein said application profile
information storage unit further comprises: means for storing

application source information [see column 4 - lines 16-42,

column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines

1-18, column 11 - lines 17-30]; means for storing application

class information [see column 4 - lines 16-42, column 5 - lines

54-67, column 8 - lines 54-67, column 9 - lines 1-18, column 11

- lines 17-30]; means for storing application bandwidth

requirements [see column 4 - lines 16-42, column 5 - lines 54-

67, column 8 - lines 54-67, column 9 - lines 1-18, column 11 -

lines 17-30]; means for storing data transfer rates [see column

4 - lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67,

column 9 - lines 1-18, column 11 - lines 17-30]; and means for

storing response times [see column 4 - lines 16-42, column 5 -

lines 54-67, column 8 - lines 54-67, column 9 - lines 1-18,

column 11 - lines 17-30.]

34. **Referring to claim 17**, *Arunachalam* as modified in claim 13

above teaches, the protocol wherein said means for storing

transport QoS profile information further comprises: means for

storing protocol available client protocols [see column 4 -

lines 16-42, column 5 - lines 54-67, column 8 - lines 54-67,

column 9 - lines 1-18, column 11 - lines 17-30]; and means for

storing server protocol grants [see column 4 - lines 16-42,

column 5 - lines 54-67, column 8 - lines 54-67, column 9 - lines

1-18, column 11 - lines 17-30.]

35. **Referring to claim 18,** *Arunachalam* as modified in claim 13

above teaches, the protocol wherein said means for storing per-

protocol QoS profile information further comprises: means for

storing ATM connection information [see column 3 - lines 50-67];

and means for storing ATM address information [see column 3 -

lines 50-67.]

Conclusion

36. The prior art made of record and not relied upon is

considered pertinent to applicant's disclosure. The following

documents have been made record of to further show the state of

the art as it pertains to the quality of service negotiation:

    Zinky et al. U.S. Patent Number: 6,691,148

    Li et al. U.S. Patent Number: 6,728,365

    Any inquiry concerning this communication or earlier

communications from the examiner should be directed to Niketa I.

Patel whose telephone number is (703) 305 4893. The examiner

can normally be reached on M-F 8:00 A.M. to 5:00 P.M.

    If attempts to reach the examiner by telephone are

unsuccessful, the examiner's supervisor, Jeffrey A. Gaffin can

be reached on (703) 308 3301. The fax phone number for the

organization where this application or proceeding is assigned is

703-872-9306.

Information regarding the status of an application may be
obtained from the Patent Application Information Retrieval
(PAIR) system. Status information for published applications
may be obtained from either Private PAIR or Public PAIR. Status
information for unpublished applications is available through
Private PAIR only. For more information about the PAIR system,
see http://pair-direct.uspto.gov. Should you have questions on
access to the Private PAIR system, contact the Electronic
Business Center (EBC) at 866-217-9197 (toll-free).

NP
07/09/2004

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